

Avidian Wealth Solutions (“Avidian” or “we”) is registered with the U.S. Securities and Exchange Commission (SEC) as an investment adviser. Brokerage and investment advisory services and fees differ, and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing.

### **What investment services and advice can you provide me?**

We offer a range of investment advisory, financial planning, and consulting services to retail investors. We also provide investment management services on a wrap fee basis in accordance with our investment management wrap fee program (this program is currently closed to new relationships). We primarily manage investment accounts on a discretionary, however in limited circumstances we will provide non-discretionary management services. A discretionary account allows us to buy and sell investments in your account without asking for your approval in advance. A non-discretionary account means that you decide what investments to buy and sell. Avidian requires a client (aggregate account) minimum of \$1,000,000.00 for its discretionary managed account services. We may waive any account minimum in our sole discretion. As part of your portfolio management services, we will continuously monitor your investments and provide advice. We do not limit the types of investments that we recommend.

#### **Ask your Financial Professional:**

*“Given my financial situation, should I choose an investment advisory service? Why or why not?”*

*“How will you choose investments to recommend to me?”*

*“What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?”*

*For additional information, please see our Form ADV Part 2A, Items 4, 7, 13 and 16.*

### **What fees will I pay?**

**You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.**

We are customarily compensated on the basis of fees calculated as a percentage of assets under management. Avidian’s maximum fee structure for managed account services or those being serviced on a wrap fee basis 1.50% annually. In some circumstances if the client and advisor determine to use a third-party manager an additional fee may be paid to the third-party manager, in which case the client will enter into a separate agreement which clearly discloses the fees payable to the third-party manager. In limited circumstances Avidian may offer advisory services on a flat-fee bases. Avidian does not generally consider its advisory fees to be negotiable, although we may do so in our sole discretion based on the size and scope of the client’s overall relationship with Avidian and its affiliates, the complexity of the client’s portfolio or their individual requirements, and the fees that the client’s account was charged at another firm prior to transferring to Avidian. Avidian does not charge performance fees. The more assets there are in your account, the more you will pay in fees, so we have an incentive to encourage you to increase the assets in your account.

**Financial Planning and Consulting Fees.** To the extent specifically requested by a client, Avidian may determine to provide financial planning and/or consulting services (including investment and non-investment related matters, business, estate, insurance planning, etc.) on a stand-alone fee basis. These fees are negotiable, but generally range from \$2,000 to \$100,000 on a fixed fee basis, and from \$150 to \$500 on an hourly rate basis, depending upon the level and scope of the service(s) required and the professional(s) rendering the service(s).

**Avidian Wrap Fee Program (Program).** The Program maximum fee is 1.50%. (This program is no longer available to new relationships).

Clients may pay other expenses in addition to the fees paid to us. For example, clients may pay costs such as bank fees, margin interest, national securities exchange fees, clearing fees, brokerage commissions, transaction fees, custodial fees, transfer taxes, wire transfer fees, and other fees and taxes charged to accounts and securities transactions, which are unrelated to the fees collected by us. Mutual funds, partnerships, REITS, and other collective investment vehicles also charge internal management fees, which are disclosed in the vehicle's offering documents.

**Ask your Financial Professional:**

*“Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?”*

*For additional information, please see our Form ADV Part 2A brochure Items 5 and 6.*

**What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?**

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they affect the recommendations, we provide you.

**Ask your Financial Professional:**

*“How might your conflicts of interest affect me, and how will you address them?”*

*More detailed information can be found on our Form ADV Part 2 Items 11, 12, and 18.*

**How do your financial professionals make money?**

Our financial professionals are primarily compensated by receiving a percentage of the management fee that Avidian collects on a managed account (see “What fees will I pay” above). There is no compensation linked to the investments offered. Additional compensation may be received for financial planning services. Some of our Advisors are licensed insurance agents and as such may receive commissions should a client purchase an insurance product for which a commission has been received, no management/advisory fee will be associated with such a purchase.

**Do your financial professionals have legal or disciplinary history?**

Yes. Visit [Investor.gov/CRS](https://www.investor.gov/crs) for a free and simple search tool to research us and our financial professionals.

**Ask your Financial Professional:**

*“As a financial professional, do you have any disciplinary history? For what type of conduct?”*

**We encourage you to seek out additional information:**

For additional information about our services or to request a copy of Form CRS, please contact us at: City Centre One, 800 Town and Country Blvd., Suite 210 & 410, Houston, TX 77024 or telephonically at (281) 822-8800 or visit our website at <https://avidianwealth.com>

**Ask your Financial Professional:**

*“Who is my primary contact person? Is he or she a representative of an investment-adviser or broker-dealer? Who can I talk to if I have concerns about how this person is treating me?”*