AVIDIAN

Wealth Optimized

avidianwealth.com

The Avidian Business Model

Designed to simplify the complexities that come with wealth

At Avidian, we collaborate as a team with you and your trusted network of professionals to ensure that all aspects of your finances are coordinated and working in line with your goals. Our engagement process is designed to help you make informed and confident decisions in the areas of financial planning, estate planning, tax strategies, risk management, and investment management.

AVIDIAN

1.

Independent registered investment advisory firm with offices in Houston and Austin.

2.

Founded in 2003 and currently advising on over $3 billion in assets

3.

Held to the fiduciary standard of care, the highest standard in the industry

4.

Full transparency with a straightforward fee structure

5.

Open architecture for optimal client solutions

6.

Third-party custodians and reporting for client assets

"Our mission is to make a positive impact in the lives of the families we serve."

Our professional team includes:

- CERTIFIED FINANCIAL PLANNERS™
- Certified Public Accountants
- Chartered Financial Analysts
- Investment Specialists
- MBAs
- JDs
- CEPAs

We deliver highly personalized services and solutions in a consultative, fee-based format.

Luke Patterson
Chairman, CEO

What Avidian Can Do for Your Family

- Comprehensive financial planning
- Multi-generational estate and wealth transfer planning
- Tax and estate tax strategies
- Legacy planning and charitable giving strategies
- Asset management solutions
- Investment consulting
- Portfolio review and stress testing
- Risk management strategies and insurance solutions
- Retirement planning and income strategies
- Cash flow planning
- Social security and pension maximization
- Family advisory services
- Executive planning

What Avidian Can Do for Your Business

- Structure and tax planning strategies
- Business succession planning strategies
- Business exit strategies
- Buy-sell agreement review
- Key-man plans
- Planning for key executive team
- Treasury and investment banking strategies
- Small business qualified and nonqualified retirement plans
- 10b5-1 plans
- 83(b) election advice

Third-Party Custodians

Fidelity
www.fidelity.com

Charles Schwab
www.schwab.com

Millennium Trust
www.mtrustcompany.com

Are you working with a fiduciary?

What is the fiduciary standard of care?

The fiduciary standard of care requires that a financial adviser act solely in the client's best interest when offering personalized financial advice. Unfortunately, everyone in this industry is not held to this same standard.

Avidian Wealth Solutions is proudly held to the fiduciary standard. As fiduciaries, our team is committed to always acting in our clients' best interests. This is not only a promise, it is also a legal responsibility. Clients receive honest and thorough financial advice aligned with their goals and objectives.

What are the benefits of working with a fiduciary advisor?

- Put their clients' best interests before their own, seeking the best prices and terms
- Act in good faith and provide all relevant facts to clients
- Must avoid conflicts of interest and disclose any potential conflicts of interest to clients
- Provide full transparency regarding their compensation
- Strive to provide accurate, thorough advice

Our Team

Our team is comprised of highly specialized advisors that collaborate as fiduciaries to provide clients the highest level of financial counsel and service. We provide a personalized approach to planning and investment management, offering a family office experience to high net worth individuals. With decades of experience, we have developed expertise in analyzing each client's financial picture through comprehensive financial planning. We partner with clients with the goal of helping them clearly understand their overall wealth plan while providing strategic advice and ongoing analysis.

Our advice is objective and always in the client's best interest. We are compensated through a fee-based arrangement where our goals are aligned with those of our clients. Working alongside our clients' other trusted advisors, we serve as fiduciaries to address all aspects of their financial lives. Our clients are supported by a team of CFPs, CFAs, CPAs, MBAs, and JDs located in our office. Through our collaborative approach, we work with clients to optimize their decisions and align them with their tax and financial plans.

BRADLEY T. COVEY

CFP®, Sr. Wealth Advisor, Partner

CHRISTOPHER ROBERTS

Associate Wealth Advisor

GAN LI

Wealth Management Associate

Trusted Experience

13 CFPs	4 CFAs	5 MBAs
3 CEPAs	2 JDs	1 PHD

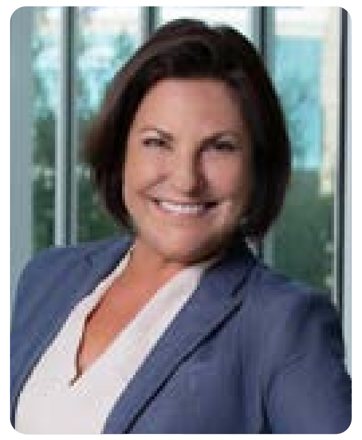
Leadership Team

LUKE PATTERSON
Chairman, CEO

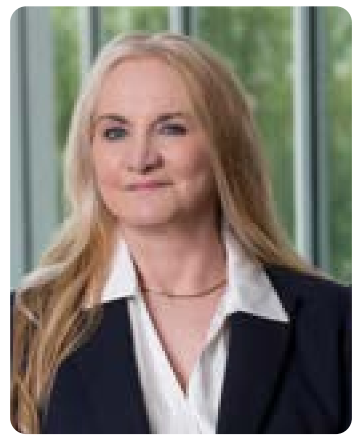
MICHAEL SMITH
President, Partner

JIM ATKINSON
COO,Head of M&A Managing Partner

PRESTON SNOW
CFP®, Sr. Wealth Advisor, Managing Partner



HOPE EDICK
Chief Compliance Officer

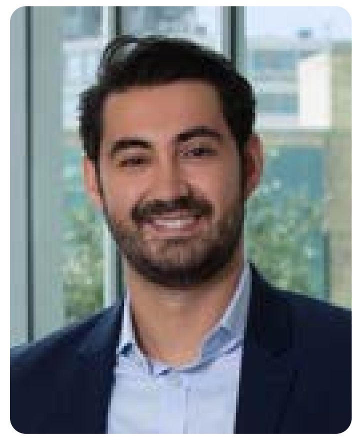


KATHERINE YARBER
Chief Accounting Officer

Investment Management Team

JAKE BORBIDGE
CFA®, CAIA®, Chief Investment Officer

NAN LU
Ph.D., CFA®, CAIA®, MBA, Sr. Portfolio Manager



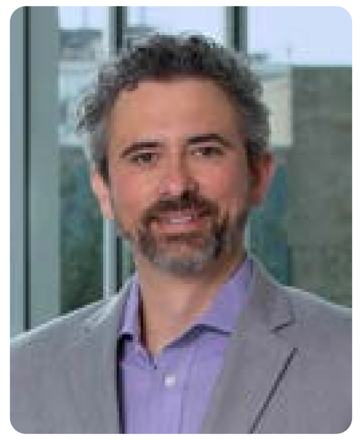
ANDREI COSTAS
MBA
Portfolio Manager

ROBIN CHAN
CFA®
Trading Supervisor

CASEY HODGES
Operations Specialist

Financial Planning Team

ROBERT PALMER
JD, MBA, CFP®, CHFC®
Director of Financial Planning



STEPHEN KIRBY
JD
Financial Planner

Bradley T. Covey

CFP®, Sr. Wealth Advisor, Partner

Brad Covey is a CERTIFIED FINANCIAL PLANNER™ at Avidian Wealth Solutions. A CFP® with 25 years of experience, his clients include high-net-worth families, business owners, and corporate executives. Brad's clients rely on him to create, implement, and monitor comprehensive, in-depth financial plans that address Estate Planning, Tax Strategies, Risk Management, Investment Management, and Financial Planning.

To accomplish this task, Brad adeptly leverages the intellectual capital offered by the Avidian Wealth Solutions Family Office environment. His clients all receive carefully coordinated, strategic, and tactical access to an in-house team of credentialed Financial Planners, Attorneys, Tax Professionals, Investments Analysts, and Insurance Professionals who are equally passionate about working together to address all aspects of the client's financial well-being.

Before joining Avidian Wealth Solutions in 2018, Brad spent 17 years as a Private Client Advisor with JP Morgan Chase. He has been married to his wife Lorraine for 23 years, and they have 18-year-old twin daughters who will attend Ole Miss in the Fall of 2023.

Education

- Bachelor's Degree in Finance, University of South Flordia

Contact

(281) 822-8834

bradcovey@avidianwealth.com

Christopher Roberts

Associate Wealth Advisor

Christopher began his career with Avidian Wealth Solutions in the Fall of 2017, shortly after graduating from Hendrix College in Conway, Arkansas, with a bachelor's degree in economics.

His first role with the firm mainly focused on assisting new advisors and their clients with transitioning into The Avidian Way. Shortly thereafter, Christopher joined Avidian's President/Founder, Michael Smith's practice as an administrative assistant, where he interfaced with clients regularly and extensively grew his financial planning knowledge.

In 2020, Christopher moved into his current Associate Wealth Advisor role, primarily assisting Michael Smith and Brad Covey with complex financial planning and investment management objectives while ensuring their clients receive the best experience possible. Outside of work, Christopher's hobbies include following almost any kind of sport, taking care of his pets, playing guitar, and finding new adventures with his wife, Elizabeth.

Education

- Bachelor's Degree in Economics, Hendrix College

Contact

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chrisroberts@avidianwealth.com

Gan Li

Wealth Management Associate

Gan Li joined Avidian in February 2022 as a Wealth Management Associate. His responsibilities include new account openings, account funding, cashiering, and client account maintenance for two of our Advisors. Prior to joining Avidian Wealth, he served as a Client Service Associate for a boutique Registered Investment Advisory firm. Li also has four years of experience in the banking sector where he actively built and maintained client relationships.

Gan passed the Security Industry Essentials exam and the Series 65 exam. Gan graduated from West Texas A&M with his Bachelor's of Business Administration in Accounting. Gan received his Master's degree in Finance from the University of Houston-Clear Lake.

Gan enjoys reading books and traveling to different parts of the world with his fiancee. He also enjoys meditation to help with focus and practicing mental wellness.

Education

- Masters Degree in Finance, University of Houston Clear-Lake
- Bachelors in Economics, Texas A&M University

Contact

(346) 449-4881

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Important Disclosure Information

Past performance may not be indicative of future results. Different types of investments involve varying degrees of risk. Therefore, it should not be assumed that future performance of any specific investment or investment strategy (including the investments and/or investment strategies recommended and/or undertaken by Avidian Wealth Solutions, LLC (“Avidian”), or any non-investment related services will be profitable, equal any historical performance level(s), be suitable for your portfolio or individual situation, or prove successful. Avidian is neither a law firm nor an accounting firm, and no portion of its services should be construed as legal or accounting advice. Moreover, you should not assume that any discussion or information in this document serves as the receipt of, or as a substitute for, personalized investment advice from Avidian. Please remember that it remains your responsibility to advise Avidian, in writing, if there are any changes in your personal/financial situation or investment objectives for the purpose of reviewing/evaluating/revising our previous recommendations and/or services, or if you would like to impose, add, or to modify any reasonable restrictions to our investment advisory services. A copy of our current written disclosure brochure discussing our advisory services and fees is available upon request. The scope of the services to be provided depends upon the needs of the client and the terms of the engagement.

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