AVIDIAN

Wealth Optimized

avidianwealth.com

The Avidian Business Model

Designed to simplify the complexities that come with wealth

At Avidian, we collaborate as a team with you and your trusted network of professionals to ensure that all aspects of your finances are coordinated and working in line with your goals. Our engagement process is designed to help you make informed and confident decisions in the areas of financial planning, estate planning, tax strategies, risk management, and investment management.

AVIDIAN

1.

Independent registered investment advisory firm with offices in Houston and Austin.

2.

Founded in 2003 and currently advising on over $3 billion in assets

3.

Held to the fiduciary standard of care, the highest standard in the industry

4.

Full transparency with a straightforward fee structure

5.

Open architecture for optimal client solutions

6.

Third-party custodians and reporting for client assets

“Our mission is to make a positive impact in the lives of the families we serve.”

Our professional team includes:

- CERTIFIED FINANCIAL PLANNERS™
- Certified Public Accountants
- Chartered Financial Analysts
- Investment Specialists
- MBAs
- JDs
- CEPAs

We deliver highly personalized services and solutions in a consultative, fee-based format.

Luke Patterson
Chairman, CEO

What Avidian Can Do for Your Family

- Comprehensive financial planning
- Multi-generational estate and wealth transfer planning
- Tax and estate tax strategies
- Legacy planning and charitable giving strategies
- Asset management solutions
- Investment consulting
- Portfolio review and stress testing
- Risk management strategies and insurance solutions
- Retirement planning and income strategies
- Cash flow planning
- Social security and pension maximization
- Family advisory services
- Executive planning

What Avidian Can Do for Your Business

- Structure and tax planning strategies
- Business succession planning strategies
- Business exit strategies
- Buy-sell agreement review
- Key-man plans
- Planning for key executive team
- Treasury and investment banking strategies
- Small business qualified and nonqualified retirement plans
- 10b5-1 plans
- 83(b) election advice

Third-Party Custodians

Fidelity
www.fidelity.com

Charles Schwab
www.schwab.com

Inspira Financial
www.inspirafinancial.com

Are you working with a fiduciary?

What is the fiduciary standard of care?

The fiduciary standard of care requires that a financial adviser act solely in the client's best interest when offering personalized financial advice. Unfortunately, everyone in this industry is not held to this same standard.

Avidian Wealth Solutions is proudly held to the fiduciary standard. As fiduciaries, our team is committed to always acting in our clients' best interests. This is not only a promise, it is also a legal responsibility. Clients receive honest and thorough financial advice aligned with their goals and objectives.

What are the benefits of working with a fiduciary advisor?

- Put their clients' best interests before their own, seeking the best prices and terms
- Act in good faith and provide all relevant facts to clients
- Must avoid conflicts of interest and disclose any potential conflicts of interest to clients
- Provide full transparency regarding their compensation
- Strive to provide accurate, thorough advice

Our Team

Our team is comprised of highly specialized advisors that collaborate as fiduciaries to provide clients the highest level of financial counsel and service. We provide a personalized approach to planning and investment management, offering a family office experience to high net worth individuals. With decades of experience, we have developed expertise in analyzing each client's financial picture through comprehensive financial planning. We partner with clients with the goal of helping them clearly understand their overall wealth plan while providing strategic advice and ongoing analysis.

Our advice is objective and always in the client's best interest. We are compensated through a fee-based arrangement where our goals are aligned with those of our clients. Working alongside our clients' other trusted advisors, we serve as fiduciaries to address all aspects of their financial lives. Our clients are supported by a team of CFPs, CFAs, CPAs, MBAs, and JDs located in our office. Through our collaborative approach, we work with clients to optimize their decisions and align them with their tax and financial plans.

DAVID M. LEE

CFP®, Sr. Wealth Advisor

SHELBY BRADSHAW

Wealth Management Associate

Trusted Experience

14	CFPs
3	CFAs
7	MBAs
3	CEPAs
2	JDs
1	PHD

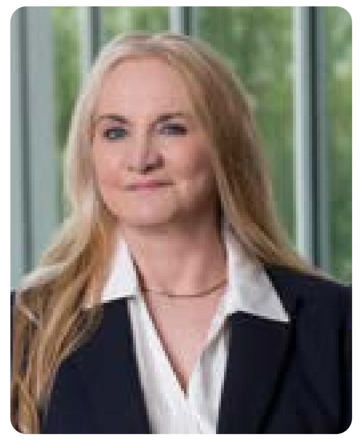
Executive Leadership Team

LUKE PATTERSON
Chairman, CEO

JIM ATKINSON
COO,Head of M&A
Managing Partner

PRESTON SNOW
CFP®, Sr. Wealth Advisor, Managing Partner

HOPE EDICK
Chief Compliance Officer

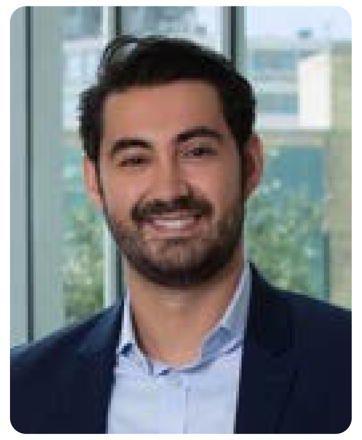


KATHERINE YARBER
Chief Accounting Officer

Investment Management Team

JAKE BORBIDGE
CFA®, CAIA®, Chief Investment Officer

NAN LU
Ph.D., CFA®, CAIA®, MBA, Sr. Portfolio Manager



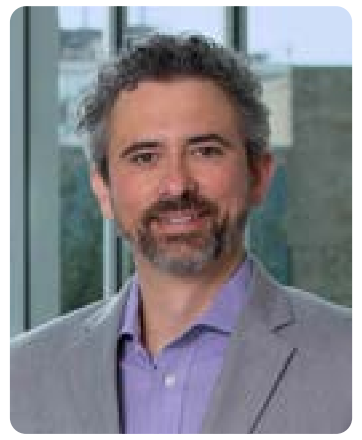
ANDREI COSTAS
MBA
Portfolio Manager

ROBIN CHAN
CFA®
Trading Supervisor

CASEY HODGES
Operations Specialist

Financial Planning Team

ROBERT PALMER
JD, MBA, CFP®, CHFC®
Director of Financial Planning



STEPHEN KIRBY
JD
Financial Planner

David M. Lee

CFP®, Sr. Wealth Advisor

After 30 years in his practice, David Lee has joined Avidian Wealth Solutions as a Senior Wealth Advisor. He brings many years of experience in providing generational planning for families as they transition through various life stages. Being particularly committed to supporting spouses that have been widowed, he has developed an appreciation for the relationships developed in serving families through this challenging time.

David established his tax, accounting and financial planning practice in 1990, focusing on individuals and small businesses including many in medical specialties. From 1984, before establishing his firm, Mr. Lee was an officer with a personal financial planning firm. Previous to that, he spent four years with Occidental Petroleum Corporation responsible for federal income tax compliance for the Chemical Division. David was also involved with corporate tax planning, forecasting, and year-end audits.

A graduate of the University of Houston, Mr. Lee received his master's degree in Accounting in 1978 and a Bachelor of Business Administration degree in Accounting in 1976.

Mr. Lee has been a Board Member of the Planned Giving Council of Houston and Gracewood, a non-profit ministry to single mothers and their children. He is active in his church and currently serves in various leadership capacities. David is married with 3 children and 5 grandchildren.

Education

- Masters in Accounting, University of Houston
- BBA, University of Houston

Contact

(346) 240-2105

davidlee@avidianwealth.com

Shelby Bradshaw

Wealth Management Associate

Shelby Bradshaw joined Avidian in June of 2023. Shelby works to support the practice of our CEO Luke Patterson, David Lee, and Michael Zdenek.

Shelby began her career as an Associate Financial Representative with Northwestern Mutual and, most recently, as an Investment Operations Associate for a Private Equity firm located in Houston. Shelby is a liaison between the advisor and clients throughout the onboarding process and continued account maintenance.

Shelby grew up in the suburbs and recently moved to the City of Houston. She enjoys spending time outdoors with her dog, daughter, family & friends.

Contact

(281) 626-7865

shelbybradshaw@avidianwealth.com

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Important Disclosure Information

Past performance may not be indicative of future results. Different types of investments involve varying degrees of risk. Therefore, it should not be assumed that future performance of any specific investment or investment strategy (including the investments and/or investment strategies recommended and/or undertaken by Avidian Wealth Solutions ("Avidian"), or any non-investment related services will be profitable, equal any historical performance level(s), be suitable for your portfolio or individual situation, or prove successful. Avidian is neither a law firm nor an accounting firm, and no portion of its services should be construed as legal or accounting advice. Moreover, you should not assume that any discussion or information in this document serves as the receipt of, or as a substitute for, personalized investment advice from Avidian. Please remember that it remains your responsibility to advise Avidian, in writing, if there are any changes in your personal/financial situation or investment objectives for the purpose of reviewing/evaluating/revising our previous recommendations and/or services, or if you would like to impose, add, or to modify any reasonable restrictions to our investment advisory services. A copy of our current written disclosure brochure discussing our advisory services and fees is available upon request. The scope of the services to be provided depends upon the needs of the client and the terms of the engagement.

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