



Wealth Optimized

avidianwealth.com



The Avidian Business Model

Designed to simplify the complexities that come with wealth

At Avidian, we collaborate as a team with you and your trusted network of professionals to ensure that all aspects of your finances are coordinated and working in line with your goals. Our engagement process is designed to help you make informed and confident decisions in the areas of financial planning, estate planning, tax strategies, risk management, and investment management.

1.

Independent registered investment advisory firm with offices in Houston and Austin.

2.

Founded in 2003 and currently advising on over \$3 billion in assets

3.

Held to the fiduciary standard of care, the highest standard in the industry

4.

Full transparency with a straightforward fee structure

5.

Open architecture for optimal client solutions

6.

Third-party custodians and reporting for client assets

“Our mission is to make a positive impact in the lives of the families we serve.”

Our professional team includes:

- ✓ CERTIFIED FINANCIAL PLANNERS™
- ✓ Certified Public Accountants
- ✓ Chartered Financial Analysts
- ✓ Investment Specialists
- ✓ MBAs
- ✓ JDs
- ✓ CEPAs

We deliver highly personalized services and solutions in a consultative, fee-based format.

Luke Patterson
Chairman, CEO



What Avidian Can Do for Your Family

- ✓ Comprehensive financial planning
- ✓ Multi-generational estate and wealth transfer planning
- ✓ Tax and estate tax strategies
- ✓ Legacy planning and charitable giving strategies
- ✓ Asset management solutions
- ✓ Investment consulting
- ✓ Portfolio review and stress testing
- ✓ Risk management strategies and insurance solutions
- ✓ Retirement planning and income strategies
- ✓ Cash flow planning
- ✓ Social security and pension maximization
- ✓ Family advisory services
- ✓ Executive planning





What Avidian Can Do for Your Business

- ✓ Structure and tax planning strategies
- ✓ Business succession planning strategies
- ✓ Business exit strategies
- ✓ Buy-sell agreement review
- ✓ Key-man plans
- ✓ Planning for key executive team
- ✓ Treasury and investment banking strategies
- ✓ Small business qualified and nonqualified retirement plans
- ✓ 10b5-1 plans
- ✓ 83(b) election advice

Third-Party Custodians



Fidelity
www.fidelity.com



Charles Schwab
www.schwab.com



Millennium Trust
www.mtrustcompany.com

Are you working with a fiduciary?

What is the fiduciary standard of care?

The fiduciary standard of care requires that a financial adviser act solely in the client's best interest when offering personalized financial advice. Unfortunately, everyone in this industry is not held to this same standard.

Avidian Wealth Solutions is proudly held to the fiduciary standard. As fiduciaries, our team is committed to always acting in our clients' best interests. This is not only a promise, it is also a legal responsibility. Clients receive honest and thorough financial advice aligned with their goals and objectives.

What are the benefits of working with a fiduciary advisor?

- ✓ Put their clients' best interests before their own, seeking the best prices and terms
- ✓ Act in good faith and provide all relevant facts to clients
- ✓ Must avoid conflicts of interest and disclose any potential conflicts of interest to clients
- ✓ Provide full transparency regarding their compensation
- ✓ Strive to provide accurate, thorough advice

Our Team

Our team is comprised of highly specialized advisors that collaborate as fiduciaries to provide clients the highest level of financial counsel and service. We provide a personalized approach to planning and investment management, offering a family office experience to high net worth individuals. With decades of experience, we have developed expertise in analyzing each client's financial picture through comprehensive financial planning. We partner with clients with the goal of helping them clearly understand their overall wealth plan while providing strategic advice and ongoing analysis.

Our advice is objective and always in the client's best interest. We are compensated through a fee-based arrangement where our goals are aligned with those of our clients. Working alongside our clients' other trusted advisors, we serve as fiduciaries to address all aspects of their financial lives. Our clients are supported by a team of CFPs, CFAs, CPAs, MBAs, and JDs located in our office. Through our collaborative approach, we work with clients to optimize their decisions and align them with their tax and financial plans.



DAVID M. LEE
CFP®, Sr. Wealth Advisor



SHELBY BRADSHAW
Wealth Management Associate

Trusted Experience

13

CFPs

4

CFAs

5

MBA's

3

CEPAs

2

JDs

1

PHD

Leadership Team



LUKE PATTERSON
Chairman, CEO



MICHAEL SMITH
President, Partner



JIM ATKINSON
COO, Head of M&A
Managing Partner



PRESTON SNOW
CFP®, Sr. Wealth
Advisor, Managing
Partner



HOPE EDICK
Chief Compliance
Officer



**KATHERINE
YARBER**
Chief Accounting
Officer

Investment Management Team



JAKE BORBIDGE
CFA®, CAIA®, Chief
Investment Officer



NAN LU
Ph.D., CFA®, CAIA®,
MBA, Sr. Portfolio
Manager



ANDREI COSTAS
MBA
Portfolio Manager



ROBIN CHAN
CFA®
Trading Supervisor



CASEY HODGES
Operations Specialist

Financial Planning Team



ROBERT PALMER
JD, MBA, CFP®,
CHFC®
Director of Financial
Planning



STEPHEN KIRBY
JD
Financial Planner



David M. Lee

CFP®, Sr. Wealth Advisor

After 30 years in his practice, David Lee has joined Avidian Wealth Solutions as a Senior Wealth Advisor. He brings many years of experience in providing generational planning for families as they transition through various life stages. Being particularly committed to supporting spouses that have been widowed, he has developed an appreciation for the relationships developed in serving families through this challenging time.

David established his tax, accounting and financial planning practice in 1990, focusing on individuals and small businesses including many in medical specialties. From 1984, before establishing his firm, Mr. Lee was an officer with a personal financial planning firm. Previous to that, he spent four years with Occidental Petroleum Corporation responsible for federal income tax compliance for the Chemical Division. David was also involved with corporate tax planning, forecasting, and year-end audits.

A graduate of the University of Houston, Mr. Lee received his master's degree in Accounting in 1978 and a Bachelor of Business Administration degree in Accounting in 1976.

Mr. Lee has been a Board Member of the Planned Giving Council of Houston and Gracewood, a non-profit ministry to single mothers and their children. He is active in his church and currently serves in various leadership capacities. David is married with 3 children and 5 grandchildren.

Education

- ✓ Masters in Accounting, University of Houston
- ✓ BBA, University of Houston

Contact

☎ (346) 240-2105

✉ davidlee@avidianwealth.com



Shelby Bradshaw


Wealth Management Associate

Shelby Bradshaw joined Avidian in June of 2023. Shelby works to support the practice of our CEO Luke Patterson, David Lee, and Michael Zdenek.

Shelby began her career as an Associate Financial Representative with Northwestern Mutual and, most recently, as an Investment Operations Associate for a Private Equity firm located in Houston. Shelby is a liaison between the advisor and clients throughout the onboarding process and continued account maintenance.

Shelby grew up in the suburbs and recently moved to the City of Houston. She enjoys spending time outdoors with her dog, daughter, family & friends.

Contact

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Important Disclosure Information

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