AVIDIAN

Wealth Optimized

avidianwealth.com

The Avidian Business Model

Designed to simplify the complexities that come with wealth

At Avidian, we collaborate as a team with you and your trusted network of professionals to ensure that all aspects of your finances are coordinated and working in line with your goals. Our engagement process is designed to help you make informed and confident decisions in the areas of financial planning, estate planning, tax strategies, risk management, and investment management.

AVIDIAN

1\.

Independent registered investment advisory firm with offices in Houston and Austin.

2\.

Founded in 2003 and currently advising on over $3 billion in assets

3\.

Held to the fiduciary standard of care, the highest standard in the industry

4\.

Full transparency with a straightforward fee structure

5\.

Open architecture for optimal client solutions

6\.

Third-party custodians and reporting for client assets

“Our mission is to make a positive impact in the lives of the families we serve.”

Our professional team includes:

- CERTIFIED FINANCIAL PLANNERS™
- Certified Public Accountants
- Chartered Financial Analysts
- Investment Specialists
- MBAs
- JDs
- CEPAs

We deliver highly personalized services and solutions in a consultative, fee-based format.

Luke Patterson
Chairman, CEO

What Avidian Can Do for Your Family

- Comprehensive financial planning
- Multi-generational estate and wealth transfer planning
- Tax and estate tax strategies
- Legacy planning and charitable giving strategies
- Asset management solutions
- Investment consulting
- Portfolio review and stress testing
- Risk management strategies and insurance solutions
- Retirement planning and income strategies
- Cash flow planning
- Social security and pension maximization
- Family advisory services
- Executive planning

What Avidian Can Do for Your Business

- Structure and tax planning strategies
- Business succession planning strategies
- Business exit strategies
- Buy-sell agreement review
- Key-man plans
- Planning for key executive team
- Treasury and investment banking strategies
- Small business qualified and nonqualified retirement plans
- 10b5-1 plans
- 83(b) election advice

Third-Party Custodians

Fidelity
www.fidelity.com

Charles Schwab
www.schwab.com

Inspira Financial
www.inspirafinancial.com

Are you working with a fiduciary?

What is the fiduciary standard of care?

The fiduciary standard of care requires that a financial adviser act solely in the client's best interest when offering personalized financial advice. Unfortunately, everyone in this industry is not held to this same standard.

Avidian Wealth Solutions is proudly held to the fiduciary standard. As fiduciaries, our team is committed to always acting in our clients' best interests. This is not only a promise, it is also a legal responsibility. Clients receive honest and thorough financial advice aligned with their goals and objectives.

What are the benefits of working with a fiduciary advisor?

- Put their clients' best interests before their own, seeking the best prices and terms
- Act in good faith and provide all relevant facts to clients
- Must avoid conflicts of interest and disclose any potential conflicts of interest to clients
- Provide full transparency regarding their compensation
- Strive to provide accurate, thorough advice

Our Team

Our team is comprised of highly specialized advisors that collaborate as fiduciaries to provide clients the highest level of financial counsel and service. We provide a personalized approach to planning and investment management, offering a family office experience to high net worth individuals. With decades of experience, we have developed expertise in analyzing each client's financial picture through comprehensive financial planning. We partner with clients with the goal of helping them clearly understand their overall wealth plan while providing strategic advice and ongoing analysis.

Our advice is objective and always in the client's best interest. We are compensated through a fee-based arrangement where our goals are aligned with those of our clients. Working alongside our clients' other trusted advisors, we serve as fiduciaries to address all aspects of their financial lives. Our clients are supported by a team of CFPs, CFAs, CPAs, MBAs, and JDs located in our office. Through our collaborative approach, we work with clients to optimize their decisions and align them with their tax and financial plans.

GREGORY J. LITTS
Sr. Wealth Advisor,
Partner

THOMAS L. BROWN
Wealth Advisor

TAYLOR MOTSINGER
Wealth Management Associate

Trusted Experience

14	CFPs
3	CFAs
7	MBAs
3	CEPAs
2	JDs
1	PHD

Executive Leadership Team

LUKE PATTERSON
Chairman, CEO

JIM ATKINSON
COO,Head of M&A Managing Partner

PRESTON SNOW
CFP®, Sr. Wealth Advisor, Managing Partner

HOPE EDICK
Chief Compliance Officer

KATHERINE YARBER
Chief Accounting Officer

Investment Management Team

JAKE BORBIDGE
CFA®, CAIA®, Chief Investment Officer

NAN LU
Ph.D., CFA®, CAIA®, MBA, Sr. Portfolio Manager

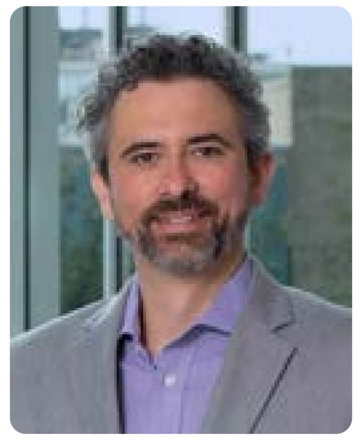
ANDREI COSTAS
MBA
Portfolio Manager

ROBIN CHAN
CFA®
Trading Supervisor

CASEY HODGES
Operations Specialist

Financial Planning Team

ROBERT PALMER
JD, MBA, CFP®, CHFC®
Director of Financial Planning



STEPHEN KIRBY
JD
Financial Planner

Gregory J. Litts

Sr. Wealth Advisor, Partner

Gregory J. Litts has extensive experience in the financial markets as his past positions have allowed him to manage the creation of a merchant bank's hedge fund and principally trade stocks and bonds.

Before joining Avidian Wealth Solutions, he founded RJ Capital Investment Advisors, which served Houston families for over 22 years. Before RJ Capital, Greg was a Vice-President of the OTC/ NASDAQ trading desk at Morgan Keegan.

He has a Bachelor of Business Administration from the University of Texas at Austin and a Master of Business Administration in Finance from the University of Houston. Greg currently lives in the Memorial area in Houston with his wife, Susan, and their two children.

Education

- MBA, University of Houston
- BBA, University of Texas at Austin

Contact

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greglitts@avidianwealth.com

Thomas L. Brown

Wealth Advisor

Thomas joins Avidian Wealth Solutions with over a decade of helping people navigate complex financial planning questions and investment markets. With a deep focus on coordinating the liquidation of retirement plans and the timing of Social Security, Thomas enjoys helping people create sustainable retirement income strategies. Thomas attended the University of Houston and holds a Bachelor's Degree in Communication from the Jack J. Valenti School of Communication.

Prior to joining Avidian, Thomas held the role of Investment Advisor Representative at RJ Capital Investment Advisors.

Thomas and his wife Misty live in Richmond with their two sons. Thomas is active in his church where he serves and teaches.

Education

- Bachelor's Degree in Communication, University of Houston

Contact

(713) 568-9780

thomasbrown@avidianwealth.com

Taylor Motsinger

Wealth Management Associate

Taylor joined Avidian in January of 2023. Before her time at Avidian, she was the director of business development at RJ Capital, handling client requests and firm operations.

Taylor graduated from Florida State University in 2018 with a bachelor's degree inPsychology and Entrepreneurship. She was a part of the first graduating class of the Jim Moran College of Entrepreneurship at Florida State. In 2022, Taylor earned her Series 65 license and became an Investment Advisor Representative.

Taylor enjoys volunteering with the Houston Livestock Show and Rodeo in her free time.

Education

- Bachelor's in Psychology and Entrepreneurship, Florida State University

Contact

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Important Disclosure Information

Past performance may not be indicative of future results. Different types of investments involve varying degrees of risk. Therefore, it should not be assumed that future performance of any specific investment or investment strategy (including the investments and/or investment strategies recommended and/or undertaken by Avidian Wealth Solutions (“Avidian”), or any non-investment related services will be profitable, equal any historical performance level(s), be suitable for your portfolio or individual situation, or prove successful. Avidian is neither a law firm nor an accounting firm, and no portion of its services should be construed as legal or accounting advice. Moreover, you should not assume that any discussion or information in this document serves as the receipt of, or as a substitute for, personalized investment advice from Avidian. Please remember that it remains your responsibility to advise Avidian, in writing, if there are any changes in your personal/financial situation or investment objectives for the purpose of reviewing/evaluating/revising our previous recommendations and/or services, or if you would like to impose, add, or to modify any reasonable restrictions to our investment advisory services. A copy of our current written disclosure brochure discussing our advisory services and fees is available upon request. The scope of the services to be provided depends upon the needs of the client and the terms of the engagement.

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