AVIDIAN

Wealth Optimized

avidianwealth.com

The Avidian Business Model

Designed to simplify the complexities that come with wealth

At Avidian, we collaborate as a team with you and your trusted network of professionals to ensure that all aspects of your finances are coordinated and working in line with your goals. Our engagement process is designed to help you make informed and confident decisions in the areas of financial planning, estate planning, tax strategies, risk management, and investment management.

AVIDIAN

1.

Independent registered investment advisory firm with offices in Houston and Austin.

2.

Founded in 2003 and currently advising on over $3 billion in assets

3.

Held to the fiduciary standard of care, the highest standard in the industry

4.

Full transparency with a straightforward fee structure

5.

Open architecture for optimal client solutions

6.

Third-party custodians and reporting for client assets

"Our mission is to make a positive impact in the lives of the families we serve."

Our professional team includes:

- CERTIFIED FINANCIAL PLANNERS™
- Certified Public Accountants
- Chartered Financial Analysts
- Investment Specialists
- MBAs
- JDs
- CEPAs

We deliver highly personalized services and solutions in a consultative, fee-based format.

Luke Patterson
Chairman, CEO

What Avidian Can Do for Your Family

- Comprehensive financial planning
- Multi-generational estate and wealth transfer planning
- Tax and estate tax strategies
- Legacy planning and charitable giving strategies
- Asset management solutions
- Investment consulting
- Portfolio review and stress testing
- Risk management strategies and insurance solutions
- Retirement planning and income strategies
- Cash flow planning
- Social security and pension maximization
- Family advisory services
- Executive planning

What Avidian Can Do for Your Business

- Structure and tax planning strategies
- Business succession planning strategies
- Business exit strategies
- Buy-sell agreement review
- Key-man plans
- Planning for key executive team
- Treasury and investment banking strategies
- Small business qualified and nonqualified retirement plans
- 10b5-1 plans
- 83(b) election advice

Third-Party Custodians

Fidelity
www.fidelity.com

Charles Schwab
www.schwab.com

Millennium Trust
www.mtrustcompany.com

Are you working with a fiduciary?

What is the fiduciary standard of care?

The fiduciary standard of care requires that a financial adviser act solely in the client's best interest when offering personalized financial advice. Unfortunately, everyone in this industry is not held to this same standard.

Avidian Wealth Solutions is proudly held to the fiduciary standard. As fiduciaries, our team is committed to always acting in our clients' best interests. This is not only a promise, it is also a legal responsibility. Clients receive honest and thorough financial advice aligned with their goals and objectives.

What are the benefits of working with a fiduciary advisor?

- Put their clients' best interests before their own, seeking the best prices and terms
- Act in good faith and provide all relevant facts to clients
- Must avoid conflicts of interest and disclose any potential conflicts of interest to clients
- Provide full transparency regarding their compensation
- Strive to provide accurate, thorough advice

Our Team

Our team is comprised of highly specialized advisors that collaborate as fiduciaries to provide clients the highest level of financial counsel and service. We provide a personalized approach to planning and investment management, offering a family office experience to high net worth individuals. With decades of experience, we have developed expertise in analyzing each client’s financial picture through comprehensive financial planning. We partner with clients with the goal of helping them clearly understand their overall wealth plan while providing strategic advice and ongoing analysis.

Our advice is objective and always in the client’s best interest. We are compensated through a fee-based arrangement where our goals are aligned with those of our clients. Working alongside our clients’ other trusted advisors, we serve as fiduciaries to address all aspects of their financial lives. Our clients are supported by a team of CFPs, CFAs, CPAs, MBAs, and JDs located in our office. Through our collaborative approach, we work with clients to optimize their decisions and align them with their tax and financial plans.

JOSHUA W. PATTERSON
Sr. Wealth Advisor

CARTER BLACKBURN
Wealth Advisor, M&A Specialist

MICHELLE MAIS
Wealth Management Associate

Trusted Experience

13	CFPs
4	CFAs
5	MBAs
3	CEPAs
2	JDs
1	PHD

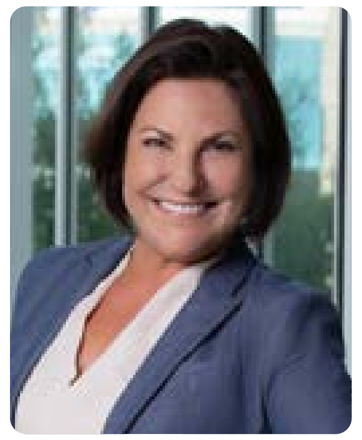
Leadership Team

LUKE PATTERSON
Chairman, CEO

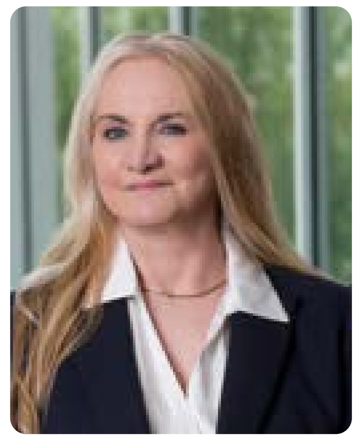
MICHAEL SMITH
President, Partner

JIM ATKINSON
COO,Head of M&A
Managing Partner

PRESTON SNOW
CFP®, Sr. Wealth Advisor, Managing Partner



HOPE EDICK
Chief Compliance Officer

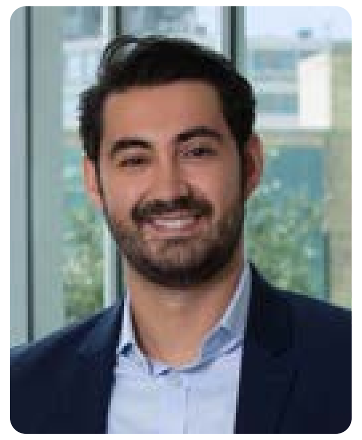


KATHERINE YARBER
Chief Accounting Officer

Investment Management Team

JAKE BORBIDGE
CFA®, CAIA®, Chief Investment Officer

NAN LU
Ph.D., CFA®, CAIA®, MBA, Sr. Portfolio Manager



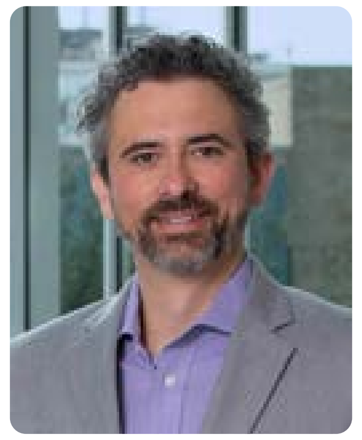
ANDREI COSTAS
MBA
Portfolio Manager

ROBIN CHAN
CFA®
Trading Supervisor

CASEY HODGES
Operations Specialist

Financial Planning Team

ROBERT PALMER
JD, MBA, CFP®, CHFC®
Director of Financial Planning



STEPHEN KIRBY
JD
Financial Planner

Joshua W. Patterson

CFP®, Sr. Wealth Advisor

Josh Patterson has been working in the financial services industry since 1998. In 2018, Josh joined Avidian Wealth Solutions, LLC (Avidian) as a Senior Wealth Advisor. Prior to joining Avidian, Josh was a founding partner of an independent wealth management firm, where his primary focus was on new business development, investment management, maintaining client relationships and serving the financial planning needs of the firm's clients. Prior to founding his wealth management firm, in 2002, Josh worked as an Associate Vice President with Morgan Stanley Financial Services where he focused on new business development. Josh began his career in 1998 with UBS Financial Services.

Josh attended Texas Lutheran University where he earned a Bachelor of Science – Double Major in Mathematics and Economics, 1998, and spent one semester in Washington DC studying economic policy while attending American University. Currently, Josh is a CERTIFIED FINANCIAL PLANNER™ practitioner (CFP®).

Josh is actively involved within the Financial Planning Association, Houston Chapter, to enhance the scope and efficiency of the financial planning process for clients. Josh is actively involved at his local church where he and his wife lead a weekly bible study class. He also enjoys coaching his children's various sports' teams. Josh and his wife Nisha have been married since 2000 and have three children, Logan Andie and Eli

Education

- Bachelors in Mathematics and Economics, Texas Lutheran University

Contact

(346) 240-2110

joshpatterson@avidianwealth.com

Carter Blackburn

Wealth Advisor, M&A Specialist

Carter Blackburn joined the team at Avidian Wealth Solutions in 2017.

Carter works with business professionals and entrepreneurs in meeting their financial goals and objectives through financial planning. Before joining Avidian, Carter worked for 14 years with business executives, where he specialized in providing entertainment solutions for companies such as Toyota, Waste Management and HP.

Carter graduated from Southwestern Baptist Seminary. Carter also worked with Houston families as an associate minister at Second Baptist Church. Carter lives in Cypress with his wife, Sarah, and a daughter named Nora. They love traveling and sharing their passion for Jesus with others.

Education

- Southwestern Baptist Seminary

Contact

(281) 822-8833

carterblackburn@avidianwealth.com

Michelle Mais

Wealth Management Associate

Michelle Mais joined the Avidian team in April 2022 as a Wealth Management Associate. Michelle started her financial career at a broker-dealer in Houston helping educators establish and maintain 403b nonprofit retirement accounts.

After working for a broker-dealer Michelle transitioned into the banking sector specializing in assisting high-net-worth clients with their day-to-day banking needs. Michelle's goal is to help clients with financial needs and add value to every client interaction.

Michelle graduated from Texas State University with a Bachelor's degree in Business Marketing. Michelle grew up in the Katy area and currently resides in the Sawyer-Heights area. Michelle enjoys going to Orange Theory Fitness, traveling around the world, and trying new restaurants within the Houston area. She also enjoys spending time with her family on the weekends.

Education

- Bachelor's in Business Marketing, Texas State University

Contact

(281) 957-4134

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Important Disclosure Information

Past performance may not be indicative of future results. Different types of investments involve varying degrees of risk. Therefore, it should not be assumed that future performance of any specific investment or investment strategy (including the investments and/or investment strategies recommended and/or undertaken by Avidian Wealth Solutions, LLC (“Avidian”), or any non-investment related services will be profitable, equal any historical performance level(s), be suitable for your portfolio or individual situation, or prove successful. Avidian is neither a law firm nor an accounting firm, and no portion of its services should be construed as legal or accounting advice. Moreover, you should not assume that any discussion or information in this document serves as the receipt of, or as a substitute for, personalized investment advice from Avidian. Please remember that it remains your responsibility to advise Avidian, in writing, if there are any changes in your personal/financial situation or investment objectives for the purpose of reviewing/evaluating/revising our previous recommendations and/or services, or if you would like to impose, add, or to modify any reasonable restrictions to our investment advisory services. A copy of our current written disclosure brochure discussing our advisory services and fees is available upon request. The scope of the services to be provided depends upon the needs of the client and the terms of the engagement.

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