



Wealth Optimized

[avidianwealth.com](http://avidianwealth.com)



# The Avidian Business Model

Designed to simplify the complexities that come with wealth

At Avidian, we collaborate as a team with you and your trusted network of professionals to ensure that all aspects of your finances are coordinated and working in line with your goals. Our engagement process is designed to help you make informed and confident decisions in the areas of financial planning, estate planning, tax strategies, risk management, and investment management.



1.

Independent registered investment advisory firm with offices in Houston and Austin.

2.

Founded in 2003 and currently advising on over \$3 billion in assets

3.

Held to the fiduciary standard of care, the highest standard in the industry

4.

Full transparency with a straightforward fee structure

5.

Open architecture for optimal client solutions

6.

Third-party custodians and reporting for client assets

“Our mission is to make a positive impact in the lives of the families we serve.”

Our professional team includes:

- ✓ CERTIFIED FINANCIAL PLANNERS™
- ✓ Certified Public Accountants
- ✓ Chartered Financial Analysts
- ✓ Investment Specialists
- ✓ MBAs
- ✓ JDs
- ✓ CEPAs

We deliver highly personalized services and solutions in a consultative, fee-based format.

Luke Patterson  
Chairman, CEO





# What Avidian Can Do for Your Family

- ✓ Comprehensive financial planning
- ✓ Multi-generational estate and wealth transfer planning
- ✓ Tax and estate tax strategies
- ✓ Legacy planning and charitable giving strategies
- ✓ Asset management solutions
- ✓ Investment consulting
- ✓ Portfolio review and stress testing
- ✓ Risk management strategies and insurance solutions
- ✓ Retirement planning and income strategies
- ✓ Cash flow planning
- ✓ Social security and pension maximization
- ✓ Family advisory services
- ✓ Executive planning







# What Avidian Can Do for Your Business

- ✓ Structure and tax planning strategies
- ✓ Business succession planning strategies
- ✓ Business exit strategies
- ✓ Buy-sell agreement review
- ✓ Key-man plans
- ✓ Planning for key executive team
- ✓ Treasury and investment banking strategies
- ✓ Small business qualified and nonqualified retirement plans
- ✓ 10b5-1 plans
- ✓ 83(b) election advice





# Third-Party Custodians



Fidelity  
[www.fidelity.com](http://www.fidelity.com)



Charles Schwab  
[www.schwab.com](http://www.schwab.com)



Inspira Financial  
[www.inspirafinancial.com](http://www.inspirafinancial.com)

## Are you working with a fiduciary?

### What is the fiduciary standard of care?

The fiduciary standard of care requires that a financial adviser act solely in the client's best interest when offering personalized financial advice. Unfortunately, everyone in this industry is not held to this same standard.

Avidian Wealth Solutions is proudly held to the fiduciary standard. As fiduciaries, our team is committed to always acting in our clients' best interests. This is not only a promise, it is also a legal responsibility. Clients receive honest and thorough financial advice aligned with their goals and objectives.

### What are the benefits of working with a fiduciary advisor?

- ✓ Put their clients' best interests before their own, seeking the best prices and terms
- ✓ Act in good faith and provide all relevant facts to clients
- ✓ Must avoid conflicts of interest and disclose any potential conflicts of interest to clients
- ✓ Provide full transparency regarding their compensation
- ✓ Strive to provide accurate, thorough advice

# Our Team

Our team is comprised of highly specialized advisors that collaborate as fiduciaries to provide clients the highest level of financial counsel and service. We provide a personalized approach to planning and investment management, offering a family office experience to high net worth individuals. With decades of experience, we have developed expertise in analyzing each client's financial picture through comprehensive financial planning. We partner with clients with the goal of helping them clearly understand their overall wealth plan while providing strategic advice and ongoing analysis.

Our advice is objective and always in the client's best interest. We are compensated through a fee-based arrangement where our goals are aligned with those of our clients. Working alongside our clients' other trusted advisors, we serve as fiduciaries to address all aspects of their financial lives. Our clients are supported by a team of CFPs, CFAs, CPAs, MBAs, and JDs located in our office. Through our collaborative approach, we work with clients to optimize their decisions and align them with their tax and financial plans.



**MICHAEL SMITH**  
Sr. Wealth Advisor, Partner



**CHRISTOPHER ROBERTS**  
Wealth Advisor



**GAN LI**  
Wealth Management  
Associate



# Trusted Experience

14

CFPs

3

CFAs

7

MBAs

3

CEPAs

2

JDs

1

PHD

## Executive Leadership Team



LUKE PATTERSON  
Chairman, CEO



JIM ATKINSON  
COO, Head of M&A  
Managing Partner



PRESTON SNOW  
CFP®, Sr. Wealth  
Advisor, Managing  
Partner



HOPE EDICK  
Chief Compliance  
Officer



KATHERINE YARBER  
Chief Accounting  
Officer

## Investment Management Team



JAKE BORBIDGE  
CFA®, CAIA®, Chief  
Investment Officer



NAN LU  
Ph.D., CFA®, CAIA®,  
MBA, Sr. Portfolio  
Manager



ANDREI COSTAS  
MBA  
Portfolio Manager



ROBIN CHAN  
CFA®  
Trading Supervisor



CASEY HODGES  
Operations Specialist

## Financial Planning Team



ROBERT PALMER  
JD, MBA, CFP®,  
CHFC®  
Director of Financial  
Planning



STEPHEN KIRBY  
JD  
Financial Planner





# Michael Smith

## Sr. Wealth Advisor, Partner

Michael Smith is a Sr. Wealth Advisor, Partner, and co-founder of Avidian Wealth Solutions. He has over 25 years of investment experience. Michael holds a B.A. in Business Management from Sam Houston State University.

Michael also serves as President of the Avidian Insurance Agency. He also hosts the YouTube series 'The Lowdown'. Before co-founding Avidian Wealth in 2002, Mike was a vice president at Wunderlich Securities. He recently received his CEPA® (Certified Exit Planning Advisor) designation, allowing him to coordinate exit planning strategies for high-net-worth business owners.

Michael has a passion for small business and serves on the leadership counsel for the National Federation of Independent Business in Texas. He is also co-chair of 'Fashion Funds the Cure', an event by the National Pediatric Cancer Foundation. Michael is also involved in a charity for rescuing dogs.

Michael resides in Houston with his wife and two children. In his free time, he enjoys traveling and is a practicing blackbelt in jujitsu.

## Education

✓ Bachelor's Degree in Business Management, Sam Houston State University

## Contact

✉ [michaelsmith@avidianwealth.com](mailto:michaelsmith@avidianwealth.com)





# Christopher Roberts

## Wealth Advisor

Christopher began his career with Avidian Wealth Solutions in the Fall of 2017, shortly after graduating from Hendrix College in Conway, Arkansas, with a bachelor's degree in economics.

His first role with the firm mainly focused on assisting new advisors and their clients with transitioning into The Avidian Way. Shortly thereafter, Christopher joined Avidian's President/Founder, Michael Smith's practice as an administrative assistant, where he interfaced with clients regularly and extensively grew his financial planning knowledge.

In 2020, Christopher moved into his current Wealth Advisor role, primarily assisting Michael Smith and Brad Covey with complex financial planning and investment management objectives while ensuring their clients receive the best experience possible. Outside of work, Christopher's hobbies include following almost any kind of sport, taking care of his pets, playing guitar, and finding new adventures with his wife, Elizabeth.

## Education

✓ Bachelor's Degree in Economics, Hendrix College

## Contact

☎ (281) 822-8837

✉ [chrisroberts@avidianwealth.com](mailto:chrisroberts@avidianwealth.com)





## Gan Li

### Wealth Management Associate

Gan Li joined Avidian in February 2022 as a Wealth Management Associate. His responsibilities include new account openings, account funding, cashiering, and client account maintenance for two of our Advisors. Prior to joining Avidian Wealth, he served as a Client Service Associate for a boutique Registered Investment Advisory firm. Li also has four years of experience in the banking sector where he actively built and maintained client relationships.

Gan passed the Security Industry Essentials exam and the Series 65 exam. Gan graduated from West Texas A&M with his Bachelor's of Business Administration in Accounting. Gan received his Master's degree in Finance from the University of Houston-Clear Lake.

Gan enjoys reading books and traveling to different parts of the world with his fiancée. He also enjoys meditation to help with focus and practicing mental wellness.

## Education

- ✓ Masters Degree in Finance, University of Houston Clear-Lake
- ✓ Bachelors in Economics, Texas A&M University

## Contact

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## Important Disclosure Information

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