AVIDIAN

Wealth Optimized

avidianwealth.com

The Avidian Business Model

Designed to simplify the complexities that come with wealth

At Avidian, we collaborate as a team with you and your trusted network of professionals to ensure that all aspects of your finances are coordinated and working in line with your goals. Our engagement process is designed to help you make informed and confident decisions in the areas of financial planning, estate planning, tax strategies, risk management, and investment management.

AVIDIAN

1.

Independent registered investment advisory firm with offices in Houston and Austin.

2.

Founded in 2003 and currently advising on over $3 billion in assets

3.

Held to the fiduciary standard of care, the highest standard in the industry

4.

Full transparency with a straightforward fee structure

5.

Open architecture for optimal client solutions

6.

Third-party custodians and reporting for client assets

"Our mission is to make a positive impact in the lives of the families we serve."

Our professional team includes:

- CERTIFIED FINANCIAL PLANNERS™
- Certified Public Accountants
- Chartered Financial Analysts
- Investment Specialists
- MBAs
- JDs
- CEPAs

We deliver highly personalized services and solutions in a consultative, fee-based format.

Luke Patterson
Chairman, CEO

What Avidian Can Do for Your Family

- Comprehensive financial planning
- Multi-generational estate and wealth transfer planning
- Tax and estate tax strategies
- Legacy planning and charitable giving strategies
- Asset management solutions
- Investment consulting
- Portfolio review and stress testing
- Risk management strategies and insurance solutions
- Retirement planning and income strategies
- Cash flow planning
- Social security and pension maximization
- Family advisory services
- Executive planning

What Avidian Can Do for Your Business

- Structure and tax planning strategies
- Business succession planning strategies
- Business exit strategies
- Buy-sell agreement review
- Key-man plans
- Planning for key executive team
- Treasury and investment banking strategies
- Small business qualified and nonqualified retirement plans
- 10b5-1 plans
- 83(b) election advice

Third-Party Custodians

Fidelity
www.fidelity.com

Charles Schwab
www.schwab.com

Millennium Trust
www.mtrustcompany.com

Are you working with a fiduciary?

What is the fiduciary standard of care?

The fiduciary standard of care requires that a financial adviser act solely in the client's best interest when offering personalized financial advice. Unfortunately, everyone in this industry is not held to this same standard.

Avidian Wealth Solutions is proudly held to the fiduciary standard. As fiduciaries, our team is committed to always acting in our clients' best interests. This is not only a promise, it is also a legal responsibility. Clients receive honest and thorough financial advice aligned with their goals and objectives.

What are the benefits of working with a fiduciary advisor?

- Put their clients' best interests before their own, seeking the best prices and terms
- Act in good faith and provide all relevant facts to clients
- Must avoid conflicts of interest and disclose any potential conflicts of interest to clients
- Provide full transparency regarding their compensation
- Strive to provide accurate, thorough advice

Our Team

Our team is comprised of highly specialized advisors that collaborate as fiduciaries to provide clients the highest level of financial counsel and service. We provide a personalized approach to planning and investment management, offering a family office experience to high net worth individuals. With decades of experience, we have developed expertise in analyzing each client's financial picture through comprehensive financial planning. We partner with clients with the goal of helping them clearly understand their overall wealth plan while providing strategic advice and ongoing analysis.

Our advice is objective and always in the client's best interest. We are compensated through a fee-based arrangement where our goals are aligned with those of our clients. Working alongside our clients' other trusted advisors, we serve as fiduciaries to address all aspects of their financial lives. Our clients are supported by a team of CFPs, CFAs, CPAs, MBAs, and JDs located in our office. Through our collaborative approach, we work with clients to optimize their decisions and align them with their tax and financial plans.

MORGAN STONE

MBA, CFP®, CEPA©, Sr. Wealth Advisor, Partner

ANTONIO TOVAR

CFP®, Associate Wealth Advisor

AUSTIN HILSCHER

CFP®, Wealth Management Associate

PATRICA ESPINO

Wealth Management Associate

Trusted Experience

13	CFPs
4	CFAs
5	MBAs
3	CEPAs
2	JDs
1	PHD

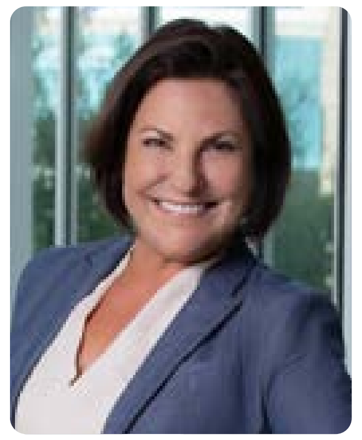
Leadership Team

LUKE PATTERSON
Chairman, CEO

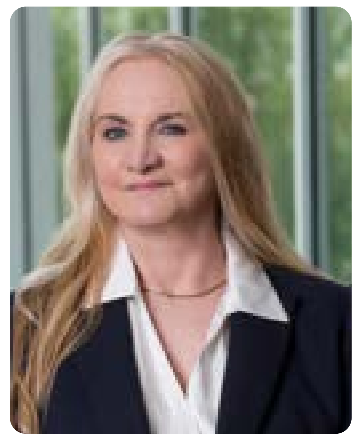
MICHAEL SMITH
President, Partner

JIM ATKINSON
COO,Head of M&A Managing Partner

PRESTON SNOW
CFP®, Sr. Wealth Advisor, Managing Partner



HOPE EDICK
Chief Compliance Officer

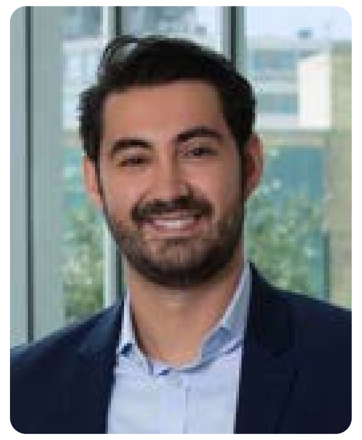


KATHERINE YARBER
Chief Accounting Officer

Investment Management Team

JAKE BORBIDGE
CFA®, CAIA®, Chief Investment Officer

NAN LU
Ph.D., CFA®, CAIA®, MBA, Sr. Portfolio Manager



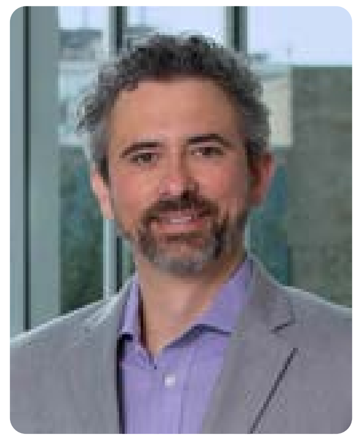
ANDREI COSTAS
MBA
Portfolio Manager

ROBIN CHAN
CFA®
Trading Supervisor

CASEY HODGES
Operations Specialist

Financial Planning Team

ROBERT PALMER
JD, MBA, CFP®, CHFC®
Director of Financial Planning



STEPHEN KIRBY
JD
Financial Planner

Morgan Stone

MBA, CFP®, CEPA©, Sr. Wealth Advisor, Partner

Morgan is a CERTIFIED FINANCIAL PLANNER™ with over twenty years' experience in the financial services industry. He is passionate about collaborating with his clients so they may achieve their unique life goals with confidence and clarity. His clients include high net worth families, business owners and corporate executives at or near retirement. He takes pride in helping his clients make wise investment and planning choices by leveraging the extensive resources available at Avidian.

Morgan graduated from Vanderbilt University and earned an MBA with a Personal Financial Planning concentration from St. Edward's University. Through the Exit Planning Institute, he also earned the Certified Exit Planning Advisor, CEPA®, designation to consult with business owners on planning their transition out of their companies in a thoughtful, deliberate and rewarding manner.

Prior to joining Avidian, Morgan was the founder and president of Stone Wealth Management, Inc., a Registered Investment Advisor firm in Austin. He also previously served as Vice President of Austin Trust Company. Morgan is a member of the Financial Planning Association and the Estate Planning Council of Central Texas. He previously served on the Board of Directors of the Estate Planning Council of Central Texas, the Board of Directors of the Juvenile Diabetes Research Foundation, and the Stephen F. Austin High School Continuing Education Foundation.

Morgan is a native Austinite, and the proud father of Will and Katherine. When not with his family, Morgan enjoys tennis, mountain biking and the live music scene in Austin. He also tries to work in a fly-fishing excursion or two each year, after working as a fly-fishing guide upon graduating from college.

Education

- MBA, St. Edward's University
- Bachelor's Degree in History, Vanderbilt University

Contact

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morganstone@avidianwealth.com

Antonio Tovar

CFP®, Associate Wealth Advisor

Antonio is a CERTIFIED FINANCIAL PLANNER™ practitioner and is developing a specialization in working with executives and professionals who receive equity compensation and other employer benefits. His primary responsibilities involve meeting with clients, supporting other advisors in financial planning projects, and researching different areas of interest that are impactful to creating a financial plan. Antonio is a Financial Planning Association (FPA) member and the Young Men's Business League (YMBL) member.

Antonio is a graduate of Texas Tech University with a bachelor's degree in Personal Financial Planning. He started his financial career as a broker, facilitating trades and assisting with client account support. Antonio has been featured or quoted in Business Insider, Yahoo! Finance, Financial Advisor Magazine, and GOBankingRates. Most recently, he was extensively quoted in an article in Sound Dollar discussing how to roll over a 401(k) into an IRA. In addition, he was interviewed on NTD Television on how to get out of debt.

As a native Austinite, Antonio spends much of his time with family and friends in his hometown. He enjoys activities such as golf, hiking, biking, or playing his guitar. One thing he loves about home is the vibrant color of the surrounding Austin area.

Education

- Bachelor's Degree in Personal Financial Planning, Texas Tech University

Contact

(737) 334-1024

antoniotovar@avidianwealth.com

Austin Hilscher

CFP®, Wealth Management Associate

Austin is a CERTIFIED FINANCIAL PLANNER™ and a graduate of Texas A&M with a B.S. in Economics. He previously worked as an intern and Assistant Paraplanner at a local Austin financial services firm, where he assisted in the creation of financial plans and client service.

Austin serves as an associate wealth manager, providing support in financial planning projects and research. Austin grew up in the area and attended Round Rock High School. While in high school, he started a small business called “Flave Rave Shaved Ice” and paid his way through college with the proceeds. Austin appreciates music and has played the piano for 13 years.

On the weekends, he enjoys reading, hiking, and travelling to thrift and antique markets throughout Texas with his fiancée, Alondra.

Education

- ✓ Bachelor’s Degree in Economics, Texas A&M University

Contact

(737) 334-0967

Patrica Espino

Wealth Management Associate

Patricia is a first-generation college graduate with a Master of Science in Personal Financial Planning from Texas Tech University. While in college, she worked in corporate banking, where she realized there had to be more guidance for people to establish and reach their financial goals. Her passion for this industry comes from the direct impact a financial plan could have on someone's financial future.

As a West Texas native, she recently relocated back to Texas from Tucson, Arizona, where she worked with a non-profit that provided financial literacy and financial mentors at no cost to the participants. Patricia had the opportunity to gain experience and various skills at this newly growing startup, ranging from customer service, coordination, mentoring, marketing, and tech support.

When not at work, Patricia will be spending time with her dog, hiking, crafting, baking, volunteering, and exploring all that the city of Austin and its surrounding areas have to offer.

Education

- Master of Science in Personal Financial Planning, Texas Tech University
- Bachelor's Degree, Texas Tech University

Contact

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AVIDIAN

Important Disclosure Information

Past performance may not be indicative of future results. Different types of investments involve varying degrees of risk. Therefore, it should not be assumed that future performance of any specific investment or investment strategy (including the investments and/or investment strategies recommended and/or undertaken by Avidian Wealth Solutions, LLC ("Avidian"), or any non-investment related services will be profitable, equal any historical performance level(s), be suitable for your portfolio or individual situation, or prove successful. Avidian is neither a law firm nor an accounting firm, and no portion of its services should be construed as legal or accounting advice. Moreover, you should not assume that any discussion or information in this document serves as the receipt of, or as a substitute for, personalized investment advice from Avidian. Please remember that it remains your responsibility to advise Avidian, in writing, if there are any changes in your personal/financial situation or investment objectives for the purpose of reviewing/evaluating/revising our previous recommendations and/or services, or if you would like to impose, add, or to modify any reasonable restrictions to our investment advisory services. A copy of our current written disclosure brochure discussing our advisory services and fees is available upon request. The scope of the services to be provided depends upon the needs of the client and the terms of the engagement.

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