AVIDIAN

Wealth Optimized

avidianwealth.com

The Avidian Business Model

Designed to simplify the complexities that come with wealth

At Avidian, we collaborate as a team with you and your trusted network of professionals to ensure that all aspects of your finances are coordinated and working in line with your goals. Our engagement process is designed to help you make informed and confident decisions in the areas of financial planning, estate planning, tax strategies, risk management, and investment management.

AVIDIAN

1.

Independent registered investment advisory firm with offices in Houston and Austin.

2.

Founded in 2003 and currently advising on over $3 billion in assets

3.

Held to the fiduciary standard of care, the highest standard in the industry

4.

Full transparency with a straightforward fee structure

5.

Open architecture for optimal client solutions

6.

Third-party custodians and reporting for client assets

> "Our mission is to make a positive impact in the lives of the families we serve."

Our professional team includes:

- CERTIFIED FINANCIAL PLANNERS™
- Certified Public Accountants
- Chartered Financial Analysts
- Investment Specialists
- MBAs
- JDs
- CEPAs

We deliver highly personalized services and solutions in a consultative, fee-based format.

Luke Patterson
Chairman, CEO

What Avidian Can Do for Your Family

- Comprehensive financial planning
- Multi-generational estate and wealth transfer planning
- Tax and estate tax strategies
- Legacy planning and charitable giving strategies
- Asset management solutions
- Investment consulting
- Portfolio review and stress testing
- Risk management strategies and insurance solutions
- Retirement planning and income strategies
- Cash flow planning
- Social security and pension maximization
- Family advisory services
- Executive planning

What Avidian Can Do for Your Business

- Structure and tax planning strategies
- Business succession planning strategies
- Business exit strategies
- Buy-sell agreement review
- Key-man plans
- Planning for key executive team
- Treasury and investment banking strategies
- Small business qualified and nonqualified retirement plans
- 10b5-1 plans
- 83(b) election advice

Third-Party Custodians

Fidelity
www.fidelity.com

Charles Schwab
www.schwab.com

Millennium Trust
www.mtrustcompany.com

Are you working with a fiduciary?

What is the fiduciary standard of care?

The fiduciary standard of care requires that a financial adviser act solely in the client's best interest when offering personalized financial advice. Unfortunately, everyone in this industry is not held to this same standard.

Avidian Wealth Solutions is proudly held to the fiduciary standard. As fiduciaries, our team is committed to always acting in our clients' best interests. This is not only a promise, it is also a legal responsibility. Clients receive honest and thorough financial advice aligned with their goals and objectives.

What are the benefits of working with a fiduciary advisor?

- Put their clients' best interests before their own, seeking the best prices and terms
- Act in good faith and provide all relevant facts to clients
- Must avoid conflicts of interest and disclose any potential conflicts of interest to clients
- Provide full transparency regarding their compensation
- Strive to provide accurate, thorough advice

Our Team

Our team is comprised of highly specialized advisors that collaborate as fiduciaries to provide clients the highest level of financial counsel and service. We provide a personalized approach to planning and investment management, offering a family office experience to high net worth individuals. With decades of experience, we have developed expertise in analyzing each client's financial picture through comprehensive financial planning. We partner with clients with the goal of helping them clearly understand their overall wealth plan while providing strategic advice and ongoing analysis.

Our advice is objective and always in the client's best interest. We are compensated through a fee-based arrangement where our goals are aligned with those of our clients. Working alongside our clients' other trusted advisors, we serve as fiduciaries to address all aspects of their financial lives. Our clients are supported by a team of CFPs, CFAs, CPAs, MBAs, and JDs located in our office. Through our collaborative approach, we work with clients to optimize their decisions and align them with their tax and financial plans.

MATTHEW L. LANKFORD
Wealth Advisor

NICOLAS J. KNAPIK
Wealth Advisor

TAYLOR SNIDER
Wealth Management Associate

Trusted Experience

13	CFPs
4	CFAs
5	MBAs
3	CEPAs
2	JDs
1	PHD

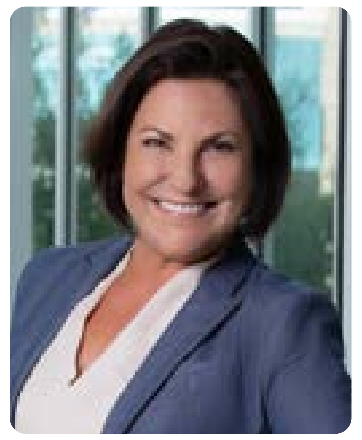
Leadership Team

LUKE PATTERSON
Chairman, CEO

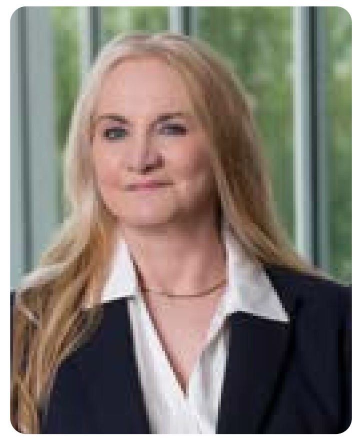
MICHAEL SMITH
President, Partner

JIM ATKINSON
COO,Head of M&A Managing Partner

PRESTON SNOW
CFP®, Sr. Wealth Advisor, Managing Partner



HOPE EDICK
Chief Compliance Officer

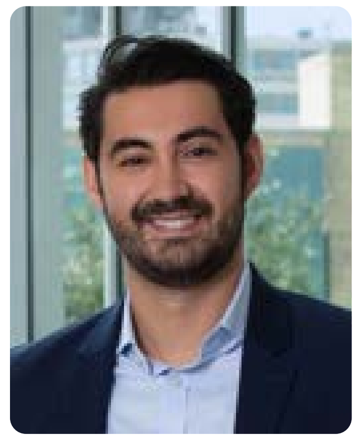


KATHERINE YARBER
Chief Accounting Officer

Investment Management Team

JAKE BORBIDGE
CFA®, CAIA®, Chief Investment Officer

NAN LU
Ph.D., CFA®, CAIA®, MBA, Sr. Portfolio Manager



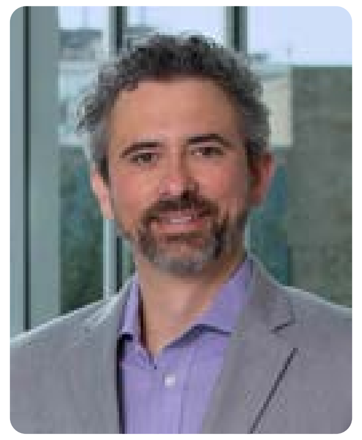
ANDREI COSTAS
MBA
Portfolio Manager

ROBIN CHAN
CFA®
Trading Supervisor

CASEY HODGES
Operations Specialist

Financial Planning Team

ROBERT PALMER
JD, MBA, CFP®, CHFC®
Director of Financial Planning



STEPHEN KIRBY
JD
Financial Planner

Matthew L. Lankford

Wealth Advisor

Matthew Lankford is a financial professional with over 20 years of experience in wealth management. Before joining the Avidian team, Matthew was a financial advisor with RJ Capital, the Wealth Management Division at Merrill Lynch, Managing Partner with TMFS, and an investments specialist at Arrowhead Asset Management. He earned a BBA in Finance from Texas State University.

Matthew is driven to provide his clients with a sense of calm by helping them navigate their financial affairs. He understands that each family has different priorities, and he tailors his financial planning strategies accordingly. Matthew takes a hands-on approach, working closely with his clients to create a personalized plan that is tailored to their specific needs and goals. By doing so, he aims to make a positive difference in their lives and alleviate any financial stress they may be experiencing.

Throughout his career, Matthew has guided his clients through many economic fluctuations, including the dot-com bubble, the financial crisis of 2008, and the recent COVID pandemic. Despite these challenges, he has remained steadfast in his approach and has enabled his clients to achieve their financial objectives in the long term. With an eye on the future, Matthew eagerly anticipates continuing to collaborate with his clients over the years to come and serving as a vital contributor to their financial accomplishments.

In his free time, Matthew engages with his local church community, participates in various charitable organizations, and enjoys coaching youth sports. Matthew and his family call Houston home, where they happily reside together.

Education

- BBA, Texas State University

Contact

(713) 936-0796

mattlankford@avidianwealth.com

Nicolas J. Knapik

Wealth Advisor

Nicolas began his career in the financial services industry in 1997. Prior to joining Avidian, he was a Financial Advisor with RJ Capital. In addition, he previously was a Managing Partner and Chief Compliance Officer with TMFS, Vice President of the Private Client Division at Charles Schwab and an Advisor at Morgan Stanley.

His experience includes portfolio management, tax and estate plans and risk management solutions.

He obtained an MBA in Finance and Business Administration from Western New England University.

Education

- MBA in Finance and Business Administration, Western New England University.
- Bachelor’s Degree, Westfield State University

Contact

(713) 936-0797

nickknapik@avidianwealth.com

Taylor Snider

Wealth Management Associate

Taylor joined Avidian in January of 2023. Before her time at Avidian, she was the director of business development at RJ Capital, handling client requests and firm operations.

Taylor graduated from Florida State University in 2018 with a bachelor’s degree inPsychology and Entrepreneurship. She was a part of the first graduating class of the Jim Moran College of Entrepreneurship at Florida State. In 2022, Taylor earned her Series 65 license and became an Investment Advisor Representative.

Taylor enjoys volunteering with the Houston Livestock Show and Rodeo in her free time.

Education

- ✓ Bachelor’s in Psychology and Entrepreneurship, Florida State University

Contact

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Important Disclosure Information

Past performance may not be indicative of future results. Different types of investments involve varying degrees of risk. Therefore, it should not be assumed that future performance of any specific investment or investment strategy (including the investments and/or investment strategies recommended and/or undertaken by Avidian Wealth Solutions, LLC (“Avidian”), or any non-investment related services will be profitable, equal any historical performance level(s), be suitable for your portfolio or individual situation, or prove successful. Avidian is neither a law firm nor an accounting firm, and no portion of its services should be construed as legal or accounting advice. Moreover, you should not assume that any discussion or information in this document serves as the receipt of, or as a substitute for, personalized investment advice from Avidian. Please remember that it remains your responsibility to advise Avidian, in writing, if there are any changes in your personal/financial situation or investment objectives for the purpose of reviewing/evaluating/revising our previous recommendations and/or services, or if you would like to impose, add, or to modify any reasonable restrictions to our investment advisory services. A copy of our current written disclosure brochure discussing our advisory services and fees is available upon request. The scope of the services to be provided depends upon the needs of the client and the terms of the engagement.

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