AVIDIAN

Wealth Optimized

avidianwealth.com

The Avidian Business Model

Designed to simplify the complexities that come with wealth

At Avidian, we collaborate as a team with you and your trusted network of professionals to ensure that all aspects of your finances are coordinated and working in line with your goals. Our engagement process is designed to help you make informed and confident decisions in the areas of financial planning, estate planning, tax strategies, risk management, and investment management.

AVIDIAN

1\.

Independent registered investment advisory firm with offices in Houston and Austin.

2\.

Founded in 2003 and currently advising on over $3 billion in assets

3\.

Held to the fiduciary standard of care, the highest standard in the industry

4\.

Full transparency with a straightforward fee structure

5\.

Open architecture for optimal client solutions

6\.

Third-party custodians and reporting for client assets

"Our mission is to make a positive impact in the lives of the families we serve."

Our professional team includes:

- CERTIFIED FINANCIAL PLANNERS™
- Certified Public Accountants
- Chartered Financial Analysts
- Investment Specialists
- MBAs
- JDs
- CEPAs

We deliver highly personalized services and solutions in a consultative, fee-based format.

Luke Patterson
Chairman, CEO

What Avidian Can Do for Your Family

- Comprehensive financial planning
- Multi-generational estate and wealth transfer planning
- Tax and estate tax strategies
- Legacy planning and charitable giving strategies
- Asset management solutions
- Investment consulting
- Portfolio review and stress testing
- Risk management strategies and insurance solutions
- Retirement planning and income strategies
- Cash flow planning
- Social security and pension maximization
- Family advisory services
- Executive planning

What Avidian Can Do for Your Business

- Structure and tax planning strategies
- Business succession planning strategies
- Business exit strategies
- Buy-sell agreement review
- Key-man plans
- Planning for key executive team
- Treasury and investment banking strategies
- Small business qualified and nonqualified retirement plans
- 10b5-1 plans
- 83(b) election advice

Third-Party Custodians

Fidelity
www.fidelity.com

Charles Schwab
www.schwab.com

Inspira Financial
www.inspirafinancial.com

Are you working with a fiduciary?

What is the fiduciary standard of care?

The fiduciary standard of care requires that a financial adviser act solely in the client's best interest when offering personalized financial advice. Unfortunately, everyone in this industry is not held to this same standard.

Avidian Wealth Solutions is proudly held to the fiduciary standard. As fiduciaries, our team is committed to always acting in our clients' best interests. This is not only a promise, it is also a legal responsibility. Clients receive honest and thorough financial advice aligned with their goals and objectives.

What are the benefits of working with a fiduciary advisor?

- Put their clients' best interests before their own, seeking the best prices and terms
- Act in good faith and provide all relevant facts to clients
- Must avoid conflicts of interest and disclose any potential conflicts of interest to clients
- Provide full transparency regarding their compensation
- Strive to provide accurate, thorough advice

Our Team

Our team is comprised of highly specialized advisors that collaborate as fiduciaries to provide clients the highest level of financial counsel and service. We provide a personalized approach to planning and investment management, offering a family office experience to high net worth individuals. With decades of experience, we have developed expertise in analyzing each client's financial picture through comprehensive financial planning. We partner with clients with the goal of helping them clearly understand their overall wealth plan while providing strategic advice and ongoing analysis.

Our advice is objective and always in the client's best interest. We are compensated through a fee-based arrangement where our goals are aligned with those of our clients. Working alongside our clients' other trusted advisors, we serve as fiduciaries to address all aspects of their financial lives. Our clients are supported by a team of CFPs, CFAs, CPAs, MBAs, and JDs located in our office. Through our collaborative approach, we work with clients to optimize their decisions and align them with their tax and financial plans.

CARTER BLACKBURN
Wealth Advisor, M&A Specialist, Partner

ROBERT PALMER
JD, MBA, CFP®, ChFC®, Director of Financial Planning

JAKE BORBIDGE
Chief Investment Officer, Partner, CFA®, CAIA®

JENNIFER GARRETT
Sr. Wealth Management Associate

Trusted Experience

14 CFPs	3 CFAs	7 MBAs
3 CEPAs	2 JDs	1 PHD

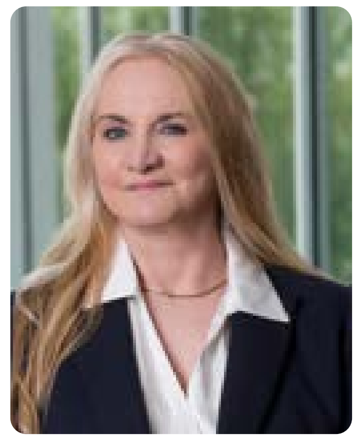
Executive Leadership Team

LUKE PATTERSON
Chairman, CEO

JIM ATKINSON
COO,Head of M&A Managing Partner

PRESTON SNOW
CFP®, Sr. Wealth Advisor, Managing Partner

HOPE EDICK
Chief Compliance Officer

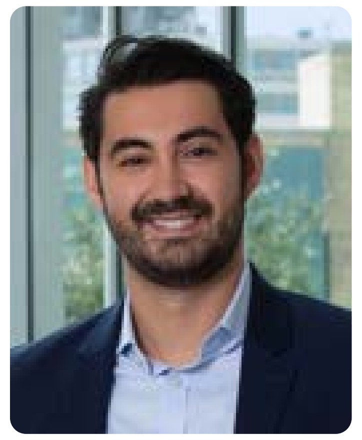


KATHERINE YARBER
Chief Accounting Officer

Investment Management Team

JAKE BORBIDGE
CFA®, CAIA®, Chief Investment Officer

NAN LU
Ph.D., CFA®, CAIA®, MBA, Sr. Portfolio Manager



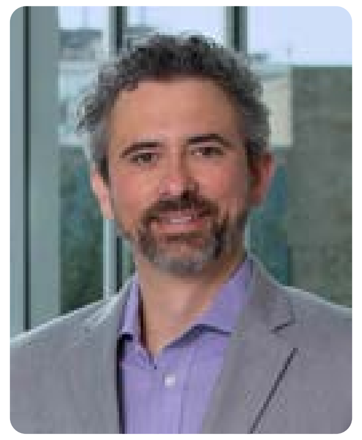
ANDREI COSTAS
MBA
Portfolio Manager

ROBIN CHAN
CFA®
Trading Supervisor

CASEY HODGES
Operations Specialist

Financial Planning Team

ROBERT PALMER
JD, MBA, CFP®, CHFC®
Director of Financial Planning



STEPHEN KIRBY
JD
Financial Planner

Carter Blackburn

Wealth Advisor, M&A Specialist, Partner

Carter Blackburn joined the team at Avidian Wealth Solutions in 2017.

Carter works with business professionals and entrepreneurs in meeting their financial goals and objectives through financial planning. Before joining Avidian, Carter worked for 14 years with business executives, where he specialized in providing entertainment solutions for companies such as Toyota, Waste Management and HP.

Carter graduated from Southwestern Baptist Seminary. Carter also worked with Houston families as an associate minister at Second Baptist Church. Carter lives in Cypress with his wife, Sarah, and a daughter named Nora. They love traveling and sharing their passion for Jesus with others.

Education

- ✓ Southwestern Baptist Seminary

Contact

(281) 822-8833

carterblackburn@avidianwealth.com

Robert Palmer

JD, MBA, CFP®, ChFC®, Director of Financial Planning

Robert Palmer JD, MBA, CFP®, ChFC® is a financial planning specialist with a background in law and finance. He started his career in the legal sector, working alongside judges and law firms as a consultant focusing on estate, tax, and matrimonial law. He has contributed to legal commentaries and treatises centered around tax and estate law.

Looking to adopt a more entrepreneurial path, he transitioned into the financial field to drive a more profound impact on clients through financial planning. He established his financial planning practice before joining Fidelity Investments, where he led a financial planning team. While at Fidelity Investments, Robert furthered his education by earning a Financial Planning Certificate from NYU while subsequently becoming a CERTIFIED FINANCIAL PLANNER® and a Chartered Financial Consultant®. At the same time, he won several awards through Fidelity Investments for outstanding performance and exceptional planning, leading his team to the top national percentiles. His experience has led him to specialize in comprehensive financial planning for businesses, high-net-worth families, and individuals.

Robert attended the University of Vermont and received a BA in Philosophy & Political Science with a minor in History. He also obtained his Juris Doctor from Pace Law School and his MBA from the Quantic School of Business and Technology. Robert lives in Houston, Texas, after leaving his hometown of Greenwich, Connecticut, where his family has been since 1640. He enjoys experiencing new cuisines and traveling to learn more about different cultures.

Education

- Juris Doctor, The Elisabeth Haub School of Law at Pace University
- MBA, Quantic School of Business and Technology
- Bachelor’s Degree in Philosophy & Political Science, University of Vermont

Contact

(281) 626-7865

robertpalmer@avidianwealth.com

Jake Borbidge

Chief Investment Officer, CFA®, CAIA®

Jacob Borbidge is the Chief Investment Officer for Avidian Wealth Solutions.

Before assuming this role, Jake spent 19 years serving multiple positions for Invesco Advisors. Most recently, he was the Senior Portfolio Manager and Global Head of Research for the Invesco Investment Solutions Team in Houston, TX. As a founding member of the Solutions Team, he oversaw the investment process for the breadth of Invesco's multi-asset solutions portfolios and models, directed the research initiatives of the Solutions investment team, and served as the face of the investment team to clients and prospects.

Jake also spent 10 years as an analyst for the Invesco Global Quantitative Strategies team, where he developed active quantitative equity strategies across various equity markets. When he entered the industry, he was a mechanical engineer with ExxonMobil.

Jake earned a BS in mechanical engineering from Lehigh University and an MS in finance from the University of Houston. He is a CFA charter holder and holds the CAIA designation.

While not a native to the Lone Star State, Jake "got here as quickly as he could" and has been a Texan long enough to call himself one. In his spare time, he can usually be found with his family outdoors on a beach, hiking, fishing, hunting, skiing, or mountain biking. He is passionate about international missions and youth ministry and actively participates in both while attending Sugar Creek Baptist Church. Jake enjoys giving back to the community. In addition to serving at his local church, he is a member of the Investment Committee for the Greater Houston Community Foundation, a Houston-based organization dedicated to inspiring and creating meaningful and positive change with its donors for our community. In the past, he has lectured on quantitative finance at the University of Houston's C.T. Bauer College of Business.

Education

- Master's in Finance, University of Houston
- Bachelor's Degree in Mechanical Engineering, Lehigh University

Jennifer Garrett

Sr. Wealth Management Associate

Jennifer Garrett joined Avidian Wealth Solutions in November 2008. She currently serves as a Sr. Wealth Management Associate focusing on client service. She works directly with four Avidian Wealth Advisors and their clients, providing support, daily operations, and portfolio maintenance.

Jennifer attended Lone Star College, where she graduated with an Associate of Arts degree.

She resides in Katy with her husband and daughter. She enjoys spending time with her family and friends, cooking, reading, and running.

Education

- Lone Star College, Associate of Arts degree

Contact

(281) 957-4134

Important Disclosure Information

Past performance may not be indicative of future results. Different types of investments involve varying degrees of risk. Therefore, it should not be assumed that future performance of any specific investment or investment strategy (including the investments and/or investment strategies recommended and/or undertaken by Avidian Wealth Solutions (“Avidian”), or any non-investment related services will be profitable, equal any historical performance level(s), be suitable for your portfolio or individual situation, or prove successful. Avidian is neither a law firm nor an accounting firm, and no portion of its services should be construed as legal or accounting advice. Moreover, you should not assume that any discussion or information in this document serves as the receipt of, or as a substitute for, personalized investment advice from Avidian. Please remember that it remains your responsibility to advise Avidian, in writing, if there are any changes in your personal/financial situation or investment objectives for the purpose of reviewing/evaluating/revising our previous recommendations and/or services, or if you would like to impose, add, or to modify any reasonable restrictions to our investment advisory services. A copy of our current written disclosure brochure discussing our advisory services and fees is available upon request. The scope of the services to be provided depends upon the needs of the client and the terms of the engagement.

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