



Wealth Optimized

avidianwealth.com



The Avidian Business Model

Designed to simplify the complexities that come with wealth

At Avidian, we collaborate as a team with you and your trusted network of professionals to ensure that all aspects of your finances are coordinated and working in line with your goals. Our engagement process is designed to help you make informed and confident decisions in the areas of financial planning, estate planning, tax strategies, risk management, and investment management.



1.

Independent registered investment advisory firm with offices in Houston and Austin.

2.

Founded in 2003 and currently advising on over \$3 billion in assets

3.

Held to the fiduciary standard of care, the highest standard in the industry

4.

Full transparency with a straightforward fee structure

5.

Open architecture for optimal client solutions

6.

Third-party custodians and reporting for client assets

“Our mission is to make a positive impact in the lives of the families we serve.”

Our professional team includes:

- ✓ CERTIFIED FINANCIAL PLANNERS™
- ✓ Certified Public Accountants
- ✓ Chartered Financial Analysts
- ✓ Investment Specialists
- ✓ MBAs
- ✓ JDs
- ✓ CEPAs

We deliver highly personalized services and solutions in a consultative, fee-based format.

Luke Patterson
Chairman, CEO



What Avidian Can Do for Your Family

- ✓ Comprehensive financial planning
- ✓ Multi-generational estate and wealth transfer planning
- ✓ Tax and estate tax strategies
- ✓ Legacy planning and charitable giving strategies
- ✓ Asset management solutions
- ✓ Investment consulting
- ✓ Portfolio review and stress testing
- ✓ Risk management strategies and insurance solutions
- ✓ Retirement planning and income strategies
- ✓ Cash flow planning
- ✓ Social security and pension maximization
- ✓ Family advisory services
- ✓ Executive planning





What Avidian Can Do for Your Business

- ✓ Structure and tax planning strategies
- ✓ Business succession planning strategies
- ✓ Business exit strategies
- ✓ Buy-sell agreement review
- ✓ Key-man plans
- ✓ Planning for key executive team
- ✓ Treasury and investment banking strategies
- ✓ Small business qualified and nonqualified retirement plans
- ✓ 10b5-1 plans
- ✓ 83(b) election advice

Third-Party Custodians



Fidelity
www.fidelity.com



Charles Schwab
www.schwab.com



Inspira Financial
www.inspirafinancial.com

Are you working with a fiduciary?

What is the fiduciary standard of care?

The fiduciary standard of care requires that a financial adviser act solely in the client's best interest when offering personalized financial advice. Unfortunately, everyone in this industry is not held to this same standard.

Avidian Wealth Solutions is proudly held to the fiduciary standard. As fiduciaries, our team is committed to always acting in our clients' best interests. This is not only a promise, it is also a legal responsibility. Clients receive honest and thorough financial advice aligned with their goals and objectives.

What are the benefits of working with a fiduciary advisor?

- ✓ Put their clients' best interests before their own, seeking the best prices and terms
- ✓ Act in good faith and provide all relevant facts to clients
- ✓ Must avoid conflicts of interest and disclose any potential conflicts of interest to clients
- ✓ Provide full transparency regarding their compensation
- ✓ Strive to provide accurate, thorough advice

Our Team

Our team is comprised of highly specialized advisors that collaborate as fiduciaries to provide clients the highest level of financial counsel and service. We provide a personalized approach to planning and investment management, offering a family office experience to high net worth individuals. With decades of experience, we have developed expertise in analyzing each client's financial picture through comprehensive financial planning. We partner with clients with the goal of helping them clearly understand their overall wealth plan while providing strategic advice and ongoing analysis.

Our advice is objective and always in the client's best interest. We are compensated through a fee-based arrangement where our goals are aligned with those of our clients. Working alongside our clients' other trusted advisors, we serve as fiduciaries to address all aspects of their financial lives. Our clients are supported by a team of CFPs, CFAs, CPAs, MBAs, and JDs located in our office. Through our collaborative approach, we work with clients to optimize their decisions and align them with their tax and financial plans.



JENNY FLEMING

Wealth Advisor

CPA, CFP®, PFS



LAURA B. VOLLRATH

Associate Wealth Advisor

Trusted Experience

13

CFPs

3

CFAs

7

MBAs

3

CEPAs

2

JDs

1

PHD

Leadership Team



LUKE PATTERSON
Chairman, CEO



JIM ATKINSON
COO, Head of M&A,
Managing Partner



PRESTON SNOW
CFP®,
Managing Partner



HOPE EDICK
Chief Compliance
Officer



KATHERINE YARBER
Chief Accounting
Officer

Investment Management Team



JAKE BORBIDGE
CFA®, CAIA®, Chief
Investment Officer



NAN LU
Ph.D., CFA®, CAIA®,
MBA, Sr. Portfolio
Manager



ANDREI COSTAS
MBA
Portfolio Manager



ROBIN CHAN
CFA®
Trading Supervisor



CASEY HODGES
Operations Specialist

Financial Planning Team



ROBERT PALMER
JD, MBA, CFP®,
CHFC®
Director of Financial
Planning



STEPHEN KIRBY
JD
Financial Planner



Jenny Fleming

CPA, CFP®, PFS, Wealth Advisor

Jenny Fleming, who brings 25 years of experience to her clients, began her career as a comptroller for her family's business. Following the sale of the business, she was treasurer and vice president for a life insurance company responsible for managing a \$95 million dollar portfolio. Jenny then joined a large Austin advisory firm where she engaged in her passion for advising high-net-worth clients on business transitions, federal income tax, trusts, estate issues, retirement planning, stock options, and investing. Jenny founded Equistar Wealth Management in 2008, with the goal of providing tailored investment advice and solutions.

A Certified Public Accountant with the Personal Financial Specialist designation, she also holds the Certified Financial Planner™ designation.

She is a member of the American Institute of Certified Public Accounts, Personal Financial Planning Section of the AICPA, and the Texas Society of Certified Public Accountants.

Jenny and her husband, John enjoy entertaining, traveling, sailing, and fly-fishing.

Education

✓ BBA in Accounting, Stephen F. Austin State University

Contact

📞 (512) 250-2277

✉️ jennyfleming@avidianwealth.com



Laura B. Vollrath

Associate Wealth Advisor

Laura Vollrath has over 20 years of experience working with clients and advisors in the financial industry. Laura joined Equistar Wealth Management in 2018 as a paraplanner and was, due to her commitment to the firm and its clients, promoted to Financial Advisor in March of 2023.

Before joining Equistar in 2018, Laura had many years of experience as Chief Compliance Officer and Operations Manager with another advisory firm. Laura holds a Series 65 license and is en route to becoming a Certified Financial Planning (CFP) professional. She looks forward to guiding clients with their money matters, personal finances, and investments.

Laura and her family had spent the previous 20 years living in Champaign, IL, where she earned a Bachelor of Science degree from the University of Illinois Urbana-Champaign. They relocated to Texas in 2018 so her husband, Jim, could pursue a career opportunity at Texas State University. Laura now resides in Dripping Springs with her husband and their 3 kids.

Education

- ✓ Bachelor of Science, University of Illinois Urbana-Champaign

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Important Disclosure Information

Past performance may not be indicative of future results. Different types of investments involve varying degrees of risk. Therefore, it should not be assumed that future performance of any specific investment or investment strategy (including the investments and/or investment strategies recommended and/or undertaken by Avidian Wealth Solutions, LLC ("Avidian"), or any non-investment related services will be profitable, equal any historical performance level(s), be suitable for your portfolio or individual situation, or prove successful. Avidian is neither a law firm nor an accounting firm, and no portion of its services should be construed as legal or accounting advice. Moreover, you should not assume that any discussion or information in this document serves as the receipt of, or as a substitute for, personalized investment advice from Avidian. Please remember that it remains your responsibility to advise Avidian, in writing, if there are any changes in your personal/financial situation or investment objectives for the purpose of reviewing/evaluating/revising our previous recommendations and/or services, or if you would like to impose, add, or to modify any reasonable restrictions to our investment advisory services. A copy of our current written disclosure brochure discussing our advisory services and fees is available upon request. The scope of the services to be provided depends upon the needs of the client and the terms of the engagement.

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